

# **Form ADV Part 2B – Brochure Supplement**

**For**

**Jared J. Gagne, CFPA  
Wealth Advisor**

**Effective: March 27, 2023**

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Jared J. Gagne (CRD# 7221011) in addition to the information contained in the Claro Advisors LLC (“Claro” or the “Advisor”, CRD# 160294) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Claro Disclosure Brochure or this Brochure Supplement, please contact us at (800) 604-2838 or by email at [info@claroadvisors.com](mailto:info@claroadvisors.com).

Additional information about Mr. Gagne is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7221011.

## **Item 2 – Educational Background and Business Experience**

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Jared J. Gagne, born in 1997, is dedicated to advising Clients of Claro as a Financial Advisor. Mr. Gagne earned a Bachelors of Finance and Marketing from Saint Josephs College of Maine in 2019. Additional information regarding Mr. Gagne’s employment history is included below.

### **Employment History:**

Wealth Advisor, Claro Advisors LLC	11/2022 to Present
Financial Advisor, Merrill Lynch	01/2020 to 11/2022
Camp Counselor, City of Dover	04/2016 to 08/2018

### **Certified Plan Fiduciary Advisor (CPFA)**

The Certified Plan Fiduciary Advisor (CPFA) credential – developed by some of the nation's leading advisors and retirement plan experts – demonstrates your knowledge, expertise, and commitment to working with retirement plans. Plan advisors who earn their CPFA demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities.

## **Item 3 – Disciplinary Information**

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*There are no legal, civil or disciplinary events to disclose regarding Mr. Gagne.* Mr. Gagne has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Gagne.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. *As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Gagne.*

However, we do encourage you to independently view the background of Mr. Gagne on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7221011.

## **Item 4 – Other Business Activities**

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Mr. Gagne is dedicated to the investment advisory activities of Claro’s Clients. Mr. Gagne does not have any other business activities.

## **Item 5 – Additional Compensation**

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Mr. Gagne is dedicated to the investment advisory activities of Claro’s Clients. Mr. Gagne does not receive any additional forms of compensation.

## **Item 6 – Supervision**

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Mr. Gagne serves as a Financial Advisor of Claro and is supervised by Jennifer Street, the Chief Compliance Officer. Ms. Street can be reached at (800) 604-2838.

Claro has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Claro. Further, Claro is subject to regulatory oversight by various agencies. These agencies require registration by Claro and its Supervised Persons. As a registered entity, Claro is subject to examinations by regulators, which may be announced or unannounced. Claro is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.