# Form ADV Part 2B – Brochure Supplement

For

Jeffrey K. Corey Senior Vice President Wealth Advisor

Effective: March 27, 2023

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Jeffrey K. Corey (CRD# 5853613) in addition to the information contained in the Claro Advisors LLC ("Claro" or the "Advisor", CRD# 160294) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Claro Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (800) 604-2838 or by email at info@claroadvisors.com.

Additional information about Mr. Corey is available on the SEC's Investment Adviser Public Disclosure website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> by searching with his full name or his Individual CRD# 5853613.

# Item 2 – Educational Background and Business Experience

Jeffrey K. Corey, born in 1985, is dedicated to advising Clients of Claro as a Vice President - Financial Advisor. Mr. Corey also earned a Bachelor of Science in Marketing from University of Massachusetts Amherst in 2007. Additional information regarding Mr. Corey's employment history is included below.

**Employment History:** 

SVP, Wealth Advisor - Claro Advisors LLC	04/2018 to Present
Registered Representative, Mutual Securities, Inc.	03/2018 to 12/2019
Financial Advisor, Stifel Nicolaus & Company Inc.	11/2014 to 02/2018
Financial Advisor, UBS Financial Services Inc.	11/2011 to 11/2014
Financial Advisor, Merrill Lynch, Pierce, Fenner & Smith Inc.	11/2011 to 11/2011
Financial Advisor, Morgan Stanley Smith Barney	10/2010 to 09/2011
General Manager, Fastenal	07/2007 to 08/2010

## Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Corey. Mr. Corey has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Corey. Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Corey. However, we do encourage you to independently view the background of Mr. Corey on the Investment Adviser Public Disclosure website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> by searching with his full name or his Individual CRD# 5853613.

# **Item 4 – Other Business Activities**

### **Insurance Agency Affiliations**

Mr. Corey is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Corey's role with Claro. As an insurance professional, Mr. Corey will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Corey is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Corey or the Advisor.

#### Item 5 – Additional Compensation

Mr. Corey has additional business activities that are detailed in Item 4 above.

# **Item 6 – Supervision**

Mr. Corey serves as a Vice President - Financial Advisor of Claro and is supervised by Jennifer Street, the Chief Compliance Officer. Ms. Street can be reached at (800) 604-2838.

Claro has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Claro. Further, Claro is subject to regulatory oversight by various agencies. These agencies require registration by Claro and its Supervised Persons. As a registered entity, Claro is subject to examinations by regulators, which may be announced or unannounced. Claro is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.